



Government of India

R F D

(Results-Framework Document)
for

National Capital Region Planning Board

(2014-2015)

Section 1: Vision, Mission, Objectives and Functions

Vision

To facilitate harmonized and balanced development of the National Capital Region (NCR).

Mission

To help the foster economic growth and balanced development in the National Capital Region through Planning and facilitating investments in creation of infrastructure aiming at harnessing the development impulse and agglomeration economies generated by Delhi.

Objectives

- 1 Preparation of a plan for the development of the National Capital Region (NCR) and Coordinating & monitoring the implementation of the Regional Plan-2021.
- 2 Facilitate Augmentation of Infrastructure in the NCR and Counter Magnet Areas (CMAS).

Functions

- 1 To prepare the Regional Plan and Functional Plans.
- 2 To arrange for the preparation of Sub-Regional Plans and Project Plans by the participating states
- 3 To coordinate the enforcement and implementation of the Regional Plan, Functional Plan, Sub-Regional Plan and Project Plans through the participating states
- 4 To ensure proper and systematic programming by the participating states with regard to project formulation, determination of priorities in the National Capital Region or sub-regions and phasing of development of the National Capital Region in accordance with the stages indicated in the Regional Plan
- 5 To arrange for, and oversee, the financing of selected development projects in the National Capital Region through Central and State Plan Funds as well as other source of revenue

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Preparation of a plan for the development of the National Capital Region (NCR) and Coordinating & monitoring the implementation of the Regional Plan-2021.	45.00	[1.1] Monitoring of Plan Implementation	[1.1.1] Nos. of Meetings Held	No.	45.00	6	5	4	3	2
[2] Facilitate Augmentation of Infrastructure in the NCR and Counter Magnet Areas (CMAS).	42.00	[2.1] Appraisal & Sanction of Infrastructure Development Projects	[2.1.1] Appraisal & Sanction of Infrastructure Development Projects	No.	30.00	8	7	6	5	4
		[2.2] Release of Funds for ongoing and new projects	[2.2.1] Release of Funds for ongoing and new projects	No.	10.00	400	350	300	250	200
		[2.3] Capacity building	[2.3.1] No of Training Programmes.	No.	2.00	5	4	3	2	1
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft RFD for 2014-2015 for Approval	On-time submission	Date	2.0	15/05/2014	16/05/2014	19/05/2014	20/05/2014	21/05/2014
		Timely submission of Results for 2013-2014	On-time submission	Date	1.0	01/05/2014	02/05/2014	05/05/2014	06/05/2014	07/05/2014
* Enhanced Transparency / Improved Service delivery of Ministry/Department	3.00	Rating from Independent Audit of implementation of Citizens' / Clients' Charter (CCC)	Degree of implementation of commitments in CCC	%	2.0	100	95	90	85	80
		Independent Audit of implementation of Grievance Redress Management (GRM) system	Degree of success in implementing GRM	%	1.0	100	95	90	85	80
* Administrative Reforms	7.00	Update organizational strategy to align with revised priorities	Date	Date	2.0	01/11/2014	02/11/2014	03/11/2014	04/11/2014	05/11/2014

* Mandatory Objective(s)

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Implementation of agreed milestones of approved Mitigating Strategies for Reduction of potential risk of corruption (MSC).	% of Implementation	%	1.0	100	90	80	70	60
		Implementation of agreed milestones for ISO 9001	% of implementation	%	2.0	100	95	90	85	80
		Implementation of milestones of approved Innovation Action Plans (IAPs).	% of implementation	%	2.0	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 12/13	Actual Value for FY 13/14	Target Value for FY 14/15	Projected Value for FY 15/16	Projected Value for FY 16/17
[1] Preparation of a plan for the development of the National Capital Region (NCR) and Coordinating & monitoring the implementation of the Regional Plan-2021.	[1.1] Monitoring of Plan Implementation	[1.1.1] Nos. of Meetings Held	No.	6	7	6	6	6
[2] Facilitate Augmentation of Infrastructure in the NCR and Counter Magnet Areas (CMAS).	[2.1] Appraisal & Sanction of Infrastructure Development Projects	[2.1.1] Appraisal & Sanction of Infrastructure Development Projects	No.	13	17	8	8	8
	[2.2] Release of Funds for ongoing and new projects	[2.2.1] Release of Funds for ongoing and new projects	No.	418	355	400	400	400
	[2.3] Capacity building	[2.3.1] No of Training Programmes.	No.	--	--	5	5	5
* Efficient Functioning of the RFD System	Timely submission of Draft RFD for 2014-2015 for Approval	On-time submission	Date	--	--	16/05/2014	--	--
	Timely submission of Results for 2013-2014	On-time submission	Date	--	--	02/05/2014	--	--
* Enhanced Transparency / Improved Service delivery of Ministry/Department	Rating from Independent Audit of implementation of Citizens' / Clients' Charter (CCC)	Degree of implementation of commitments in CCC	%	--	--	95	--	--
	Independent Audit of implementation of Grievance Redress Management (GRM) system	Degree of success in implementing GRM	%	--	--	95	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 12/13	Actual Value for FY 13/14	Target Value for FY 14/15	Projected Value for FY 15/16	Projected Value for FY 16/17
* Administrative Reforms	Update organizational strategy to align with revised priorities	Date	Date	--	--	02/11/2014	--	--
	Implementation of agreed milestones of approved Mitigating Strategies for Reduction of potential risk of corruption (MSC).	% of Implementation	%	--	--	90	--	--
	Implementation of agreed milestones for ISO 9001	% of implementation	%	--	--	95	--	--
	Implementation of milestones of approved Innovation Action Plans (IAPs).	% of implementation	%	--	--	90	--	--

* Mandatory Objective(s)

Section 4: Acronym

Sl.No	Acronym	Description
1	AAGR	Average Annual Growth Rate
2	DSUIB	Delhi Urban Shelter Improvement Board
3	HUDA	Haryana Urban Development Authority
4	HUDCO	Housing and Urban Development Corporation
5	NCR	National Capital Region
6	NCRPB	National Capital Region Planning Board

Section 4: Acronym

Sl.No	Acronym	Description
7	NCT	National Capital Territory

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

Sl.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.1.1] Nos. of Meetings Held	Monitoring of Plan Implementation.	Success indicator is the number of monitoring meetings held.	Total number of meetings held vis-à-vis planned.	Monitoring of plan implementation is done through various meetings of Planning Committee, Empowered Committee, Steering Committee meetings of State Govt., PSMG meetings and Board meetings.
2	[2.1.1] Appraisal & Sanction of Infrastructure Development Projects	Sanction of Projects.	Success indicator is defined as number of sanctions issued.	The ratio of total number of projects sanctioned and total number of projects recommended for sanction.	Under section 8(e) of the NCRPB Act, 1985, the Board can select & approve comprehensive projects & provide assistance for implementation of such projects.
3	[2.2.1] Release of Funds for ongoing and new projects	Release of Funds.	Success indicator is defined as amount of funds released for project implementation.	Total amount released as against planned release amount.	Release of funds is subject to requirements of loan by States, timely submission of utilization certificates etc.
4	[2.3.1] No of Training Programmes.	Conduct Training Programmes to enhance the capacity of Implementing Agencies.	Success indicator is defined as No. of Training Programmes held.	Total No. of Training Programmes conducted against the target planned.	To build the capacity of officers of NCRPB & implementing agencies in various sectors of Urban Development viz. water supply, Sewerage, Solid waste management & other fields of infrastructure Development.

Section 4:
Description and Definition of Success Indicators and Proposed Measurement Methodology

SI.No	Success indicator	Description	Definition	Measurement	General Comments
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Section 5 : Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Ministry	Ministry of Finance	[2.2.1] Release of Funds for ongoing and new projects	Enhanced Budgetary support to Facilitate quicker loans from multilateral/ bi-lateral agencies	Release of funds will get affected if funds/resources are not available to the Board.	Very critical in infrastructure development support.	Infrastructural goals will not be achieved.
State Government	Uttar Pradesh	Other	Other	[2.3.1] No of Training Programmes.	Nominate participants for the training programme.	To enhance the knowledge and skill development of officers working in Urban Development Sector.	Critical for project planning, implementation and maintaining.	Infrastructural goals will not be achieved.
				[1.1.1] Nos. of Meetings Held	To hold meetings regularly for monitoring the implementation of policies and proposals of Regional Plan.	Regional Plan is to be implemented by the respective State Govts./ Agencies, Local Bodies/ Dev. Authority	Holding meetings of the State level Steering Committee at regular intervals and monitor the status of implementation by the NCR participating States.	Delay in implementation of Regional Plan- 2021
	Haryana	Other		[2.3.1] No of Training Programmes.	Nominate participants for the training programme.	To enhance the knowledge and skill development of officers working in Urban Development Sector.	Critical for project planning, implementation and maintaining.	Infrastructural goals will not be achieved.
			[1.1.1] Nos. of Meetings Held	To hold meetings regularly for monitoring the implementation of policies and proposals of Regional Plan.	Regional Plan is to be implemented by the respective State Govts./ Agencies, Local Bodies/ Dev. Authority	Holding meetings of the State level Steering Committee at regular intervals and monitor the status of implementation by the NCR participating States.	Delay in implementation of Regional Plan- 2021	
	Rajasthan	Other		[2.3.1] No of Training Programmes.	Nominate participants for the training programme	To enhance the knowledge and skill development of officers working in Urban	Critical for project planning, implementation and maintaining.	Infrastructural goals will not be achieved.

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Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
	Rajasthan	Other		[2.3.1] No of Training Programmes. [1.1.1] Nos. of Meetings Held	Nominate participants for the training programme To hold meetings regularly for monitoring the implementation of policies and proposals of Regional Plan.	Development Sector. Regional Plan is to be implemented by the respective State Govts./ Agencies, Local Bodies/ Dev. Authority	Critical for project planning, implementation and maintaining. Holding meetings of the State level Steering Committee at regular intervals and monitor the status of implementation by the NCR participating States.	Infrastructural goals will not be achieved. Delay in implementation of Regional Plan- 2021
	NCT of Delhi	Other		[2.3.1] No of Training Programmes. [1.1.1] Nos. of Meetings Held	Nominate participants for the training programme. To hold meetings regularly for monitoring the implementation of policies and proposals of Regional Plan.	To enhance the knowledge and skill development of officers working in Urban Development Sector. Regional Plan is to be implemented by the respective State Govts./ Agencies, Local Bodies/ Dev. Authority	Critical for project planning, implementation and maintaining. Holding meetings of the State level Steering Committee at regular intervals and monitor the status of implementation by the NCR participating States.	Infrastructural goals will not be achieved. Delay in implementation of Regional Plan- 2021

Section 6: Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 12/13	FY 13/14	FY 14/15	FY 15/16	FY 16/17
1 Planned development of the NCR	<ul style="list-style-type: none"> • MoUD • Government of GNCT of Delhi • Governments of Haryana • Govt. of Rajasthan and • Govt. of UP 	Nos. of Meetings Held	No.	6	7	6	6	6
2 Improved Growth supporting infrastructure, Public Health and environment related infrastructure in the NCR & Counter Magnet Areas	Participating State governments of Delhi, Haryana, Rajasthan and UP	Appraisal & Sanction of infrastructure Development Projects.	No.	13	17	8	8	8
		Provide financing support for infrastructure development	Rs. In Cr.	418	355	400	400	400
		No of Training Programmes Conducted	No.			5	5	5